

FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

FEB 25 1999

ATTY DOCKET NO: S63.2-6769

Application No: 09/197,278

APPLICANT: Brian J. Brown et al.

FILING DATE: November 20, 1998

GROUP: 3798

3731

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

| EXAM'S INIT. | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|-----------------|----|-----------------|----------|-----------------|-------|----------|----------------------------------|
| ✓ | AA | 5,591,197 | 1/7/97 | Orth et al. | 606 | 198 | |
| | AB | 5,697,971 | 12/16/97 | Fischell et al. | 623 | 1 | |
| | AC | 5,718,713 | 2/17/98 | Frantzen | 606 | 198 | |
| | AD | 5,735,893 | 4/7/98 | Lau et al. | 623 | 1 | |
| | AE | 5,741,327 | 4/21/98 | Frantzenq | 623 | 1 | |
| | AF | 5,755,776 | 5/26/98 | Al-Saadon | 623 | 1 | |
| | AG | 5,755,781 | 5/26/98 | Jayaraman | 623 | 1 | |
| | AH | 5,776,161 | 7/7/98 | Globerman | 606 | 194 | |
| | AI | 5,776,183 | 7/7/98 | Kanesaka et al. | 623 | 1 | |
| | AJ | 5,807,404 | 9/15/98 | Richter | 623 | 1 | |
| | AK | 5,810,872 | 9/22/98 | Kanesaka et al. | 606 | 198 | |
| ✓ | AL | 5,824,059 | 10/20/98 | Wijay | 623 | 1 | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|---|----|-----------------|---------|---------|-------|----------|-------------|----|
| | | | | | | | YES | NO |
| ✓ | BA | 297 01 758 | 3/27/97 | DE | | | | |
| ✓ | BB | 297 02 671 U1 | 4/10/97 | DE | | | | |
| ✓ | BC | 297 08 689 U1 | 7/17/97 | DE | | | | |
| ✓ | BD | 297 08 879 U1 | 7/31/97 | DE | | | | |
| ✓ | BE | 0 821 920 A1 | 2/4/98 | EP | | | | |

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

| | | | |
|---|----|--|--|
| ✓ | CA | | Beyar et al, "The BeStent; The Parallel-Serial Jang Stents", <i>Handbook of Coronary Stents, Second Edition</i> , 158-171 & 229-234 (1998). |
| ✓ | CB | | Beyar et al, "Newer Stents: Materials and Designs", <i>LAGS Proceedings</i> , 9(5): 363-371 (June 1997). |
| ✓ | CC | | Roguin et al, "Acute and 30-Day Results of the Serpentine Balloon Expandable Stent Implantation in Simple and Complex Coronary Arterial Narrowings", <i>The American Journal of Cardiology</i> , 80:1155-1162 (November 1997). |
| ✓ | CD | | Roguin et al, "beStent-the serpentine balloon expandable stent: review of mechanical properties and clinical experience", <i>Artif Organs</i> , 22(3):243-249 (March 1998). |

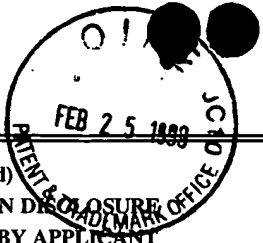
EXAMINER

NBN-NWS

DATE CONSIDERED

2/18/00

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.
Include copy of this form with next communication to applicant.



09/197278

| | | |
|---|----------------------------------|--------------------|
| FORM PTO-1449 (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) | ATTY DOCKET NO: S63.2-6769 | Application No: NA |
| | APPLICANT: Brian J. Brown et al. | |
| | FILING DATE: November 20, 1998 | Group: 3231 |

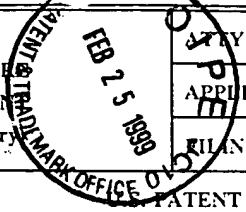
REFERENCE DESIGNATION

FOREIGN PATENT DOCUMENT OR PUBLISHED FOREIGN PATENT APPLICATION

| EXAM'S INIT. | | DOCUMENT NUMBER | PUBLICA TION DATE | COUNTRY OF PATENT OFFICE | CLASS | SUBCLASS | TRANSLATION | |
|-----------------|----|-----------------|-------------------------|--------------------------|-------|----------|-------------|----|
| | | | | | | | YES | NO |
| ✓ | BF | 97/04721 | 2/13/97 | WO | | | | |
| | BG | 97/14375 | 2/24/97 | WO | | | | |
| | BH | 97/25937 | 7/24/97 | WO | | | | |
| | BI | 97/32543 | 9/12/97 | WO | | | | |
| | BJ | 97/32544 | 9/12/97 | WO | | | | |
| | BK | 97/33534 | 9/18/97 | WO | | | | |
| | BL | 97/40780 | 11/6/97 | WO | | | | |
| | BM | 97/40781 | 11/6/97 | WO | | | | |
| | BN | 97/40782 | 11/6/97 | WO | | | | |
| | BO | 97/40783 | 11/6/97 | WO | | | | |
| ✓ | BP | 97/40874 | 11/6/97 | WO | | | | |
| | BQ | | | | | | | |
| | BR | | | | | | | |
| | BS | | | | | | | |
| | BT | | | | | | | |
| | BU | | | | | | | |
| | BV | | | | | | | |
| | BW | | | | | | | |
| | BX | | | | | | | |
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| EXAMINER <i>LEN WOO</i> | DATE CONSIDERED <i>7/6/00</i> |
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EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

| FORM PTO-1449 (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) | |  | | ATTORNEY DOCKET NO: S63.2-5605 APPLICANT: Brian J. Brown, Michael L. Davis FILING DATE: August 3, 1995 | | Serial No: 08/511,076 Group: 3308 | | |
|---|---------------|--|---------------------|--|----------|--------------------------------------|-------------|----|
| REFERENCE DESIGNATION PATENT DOCUMENTS | | | | | | | | |
| EXAM'S INT. | PATENT NUMBER | ISSUE DATE | PATENTEE | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE | | |
| W | AA | 4,553,545 | 11/19/1985 | Maass et al. | | | | |
| | AB | 4,733,665 | 03/29/1988 | Palmaz | | | | |
| | AC | 4,739,762 | 04/26/1988 | Palmaz | | | | |
| | AD | 4,776,337 | 10/11/1988 | Palmaz | | | | |
| | AE | 4,830,003 | 05/16/1989 | Wolff et al. | | | | |
| | AF | 4,856,516 | 08/15/1989 | Hillstead | | | | |
| | AG | 4,886,062 | 12/12/1989 | Wiktor | | | | |
| | AH | 4,913,141 | 04/03/1990 | Hillstead | | | | |
| | AI | 4,994,071 | 02/19/1991 | MacGregor | | | | |
| | AJ | 5,019,090 | 05/28/1991 | Pinchuk | | | | |
| W | AK | 5,035,706 | 07/30/1991 | Gianturco et al. | | | | |
| FOREIGN PATENT DOCUMENT OR PUBLISHED FOREIGN PATENT APPLICATION | | | | | | | | |
| | | DOCUMENT NUMBER | PUBLICATION DATE | COUNTRY OF PATENT OFFICE | CLASS | SUBCLASS | TRANSLATION | |
| | | | | | | | YES | NO |
| W | BA | 0 606 165 A1 | 07/13/1994 | EPO | | | X | |
| W | BB | 0 540 290 A2 | 05/05/1993 | EPO | | | X | |
| W | BC | 0 364 787 B1 | 04/25/1990 | EPO | | | X | |
| W | BD | WO 94/17754 | 08/18/1994 | PCT | | | X | |
| W | BE | 0 541 443 A1 | 05/12/1993 | EPO | | | X | |
| OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | | |
| W | CA | <i>Manufacturing Processes for Engineering Materials</i> , by Serope Kalpakjian, Illinois Institute of Technology, Addison-Wesley Publishing Company, pp. 340. | | | | | | |
| W | CB | <i>A View of Vascular Stents</i> , by Richard A. Schatz, MD, From the Arizona Heart Institute Foundation, Phoenix, Arizona, <u>CIRCULATION</u> , Vol. 79, No. 2, February 1989, pp. 445-457. | | | | | | |
| W | CC | <i>The Self-Expanding Mesh Stent</i> , by Ulrich Sigwart, <u>SECTION IV</u> , Chapter 29, pp. 605-610. | | | | | | |
| EXAMINER | | | | DATE CONSIDERED | | | | |
| EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | | |

FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATISTICAL

(Use several sheets if necessary)

ATTY DOCKET NO: 563.2-5605

Serial No: 08/511,076

APPLICANT: Brian J. Brown, Michael L. Davis

FILING DATE: August 3, 1995

Group: 3308

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

| EXAM'S INIT. | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|-----------------|----|-----------------|------------|------------------|-------|----------|-------------------------------|
| W | AA | 5,059,211 | 10/22/1991 | Stack et al. | | | |
| | AB | 5,102,417 | 04/07/1992 | Palmaz | | | |
| | AC | 5,104,404 | 04/14/1992 | Wolff | | | |
| | AD | 5,133,732 | 07/28/1992 | Wiktor | | | |
| | AE | 5,135,536 | 08/04/1992 | Hillstead | | | |
| | AF | 5,195,984 | 03/23/1993 | Schatz | | | |
| | AG | 5,197,978 | 03/30/1993 | Hess | | | |
| | AH | 5,282,823 | 02/01/1994 | Schwartz et al. | | | |
| | AI | 5,292,331 | 03/08/1994 | Boneau | | | |
| | AJ | 5,304,200 | 04/19/1994 | Spaulding | | | |
| W | AK | 5,449,373 | 09/12/1995 | Pinchasik et al. | | | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|---|----|-----------------|------------|---------|-------|----------|-------------|----|
| | | | | | | | YES | NO |
| W | AL | 6-4175 | 03/06/1994 | Japan | | | | |
| | AM | | | | | | | |
| | AN | | | | | | | |
| | AO | | | | | | | |
| | AP | | | | | | | |

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

| | | | | | | | | |
|---|----|--|--|--|--|--|--|--|
| W | AR | | Japanese Infringement Search on Articulated Expandable Stents, Dated July 12, 1995. | | | | | |
| W | AS | | ENGINEERING FLUID MECHANICS, Third Edition, John A. Roberson and Clayton T. Crowe, pp. 94 and pp 414-421 | | | | | |
| W | AT | | Cambridge Dictionary of Science and Technology, Cambridge University Press. 128 | | | | | |

EXAMINER

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DATE CONSIDERED

7/15/95

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE
STATEMENT

APPLICANT: Brian J. Brown, Michael L. Davis

(Use several sheets if necessary)

FILING DATE: August 3, 1995

Group: 3308

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

| EXAMINER'S INT. | | PATENT NUMBER | ISSUE DATE | PATENTEE | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|--------------------|----|---------------|-----------------------|-----------------|-------|----------|-------------------------------|
| LD | AA | 3,657,744 | 04/25/1972 | Ersek | | | |
| | AB | 4,141,364 | 02/27/1979 | Schultze | | | |
| | AC | 4,681,110 | 07/21/1987 | Wiktor | | | |
| | AD | 4,693,721 | 09/15/1987 | Ducheyne | | | |
| | AE | 4,786,507 | 09/06/1988 | Fischell et al. | | | |
| | AF | 4,769,029 | 09/06/1988 | Patel | | | |
| | AG | 4,787,899 | 11/29/1988 | Lazarus | | | |
| | AH | 4,830,003 | 05/16/1989 | Wolff et al. | | | |
| | AI | 4,856,516 | 08/15/1989 | Hillstead | | | |
| | AJ | 4,922,905 | 05/08/1990 | Strecker | | | |
| | AK | 4,950,227 | 08/21/1990 | Savin et al. | | | |
| | AL | 4,950,258 | 08/21/1990 | Kawai et al. | | | |
| | AM | 4,994,071 | 02/19/1991 | MacGregor | | | |
| | AN | 5,015,253 | 05/14/1991 | MacGregor | | | |
| | AO | 5,037,392 | 08/06/1991 | Hillstead | | | |
| | AP | 5,064,435 | 11/12/1991 | Porter | | | |
| | AQ | 5,092,877 | 03/03/1992 | Pinchuk | | | |
| | AR | 5,104,399 | 04/14/1992 | Lazarus | | | |
| | AS | 5,108,415 | 04/28/1992 | Pinchuk et al. | | | |
| | AT | 5,108,417 | 04/28/1992 | Sawyer | | | |
| | AU | 5,122,154 | 06/16/1992 | Rhodes | | | |
| | AV | 5,139,480 | 08/18/1992 | Hickle et al. | | | |
| | AW | 5,147,385 | 09/15/1992 | Beck et al. | | | |
| | AX | 5,147,400 | 09/15/1992 | Kaplan et al. | | | |
| W | AY | 5,158,548 | 10/27/1992 | Lau et al. | | | |

EXAMINER

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FORM PTO-1449 (Modified)

PATENT DOCKET NO: S63.2-5605

Serial No: 08/511,076

INFORMATION DISCLOSURE
STATEMENT

APPLICANT: Brian J. Brown, Michael L. Davis

(Use several sheets if necessary)

FILING DATE: August 3, 1995

Group: 3308

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

| EXAM'S INT. | | PATENT NUMBER | ISSUE DATE | PATENTEE | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------|----|---------------|-----------------------|----------------------|-------|----------|-------------------------------|
| W | AA | 5,217,483 | 06/08/1993 | Tower | | | |
| | AB | 5,163,952 | 11/17/1992 | Froix | | | |
| | AC | 5,226,913 | 07/13/1993 | Pinchuk | | | |
| | AD | 5,282,824 | 02/01/1994 | Gianturco | | | |
| | AE | 5,344,425 | 09/06/1994 | Sawyer | | | |
| | AF | 5,354,308 | 10/11/1994 | Simon et al. | | | |
| | AG | 5,354,309 | 10/11/1994 | Schnepp-Pesch et al. | | | |
| | AH | 5,383,892 | 01/24/1995 | Cardon et al. | | | |
| | AI | 5,405,377 | 04/11/1995 | Cragg | | | |
| | AJ | 2,836,181 | 05/27/1958 | J.S. Tapp | | | |
| | AK | 3,509,883 | 05/05/1970 | Dibelius | | | |
| | AL | 3,526,228 | 09/01/1970 | Lyng | | | |
| | AM | 4,300,244 | 11/17/1981 | Bokros | | | |
| | AN | 4,319,363 | 03/16/1982 | Ketharanathan | | | |
| | AO | 4,441,215 | 04/10/1984 | Kaster | | | |
| | AP | 4,470,407 | 09/11/1984 | Hussein | | | |
| | AQ | 4,550,447 | 11/05/1985 | Seiler, Jr. et al. | | | |
| | AR | 4,740,207 | 04/26/1988 | Kreamer | | | |
| | AS | 4,760,849 | 08/02/1988 | Kropf | | | |
| | AT | 4,762,128 | 08/09/1988 | Rosenbluth | | | |
| | AU | 4,771,773 | 09/20/1988 | Kropf | | | |
| | AV | 4,795,458 | 01/03/1989 | Regan | | | |
| | AW | 4,820,298 | 04/11/1989 | Leveen et al. | | | |
| | AX | 4,877,030 | 10/31/1989 | Beck et al. | | | |
| W | AY | 3,868,956 | 04/07/1975 | Alfidi et al. | | | |

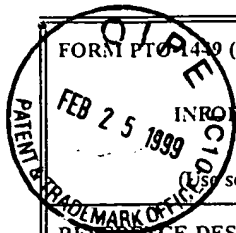
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DATE CONSIDERED

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FORM PTO-1439 (Modified)

ATTY DOCKET NO: S63.2-5605

Serial No: 08/511,076

INFORMATION DISCLOSURE
STATEMENT

APPLICANT: Brian J. Brown, Michael L. Davis

(Use several sheets if necessary)

FILING DATE: August 3, 1995

Group: 3308

REFERENCE DESIGNATION

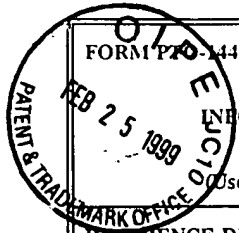
U.S. PATENT DOCUMENTS

| EXAMINER'S INIT. | | PATENT NUMBER | ISSUE DATE | PATENTEE | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|---------------------|----|---------------|-----------------------|--------------------|-------|----------|-------------------------------|
| W | AA | 4,140,126 | 02/20/1979 | Choudhury | | | |
| | AB | 4,503,569 | 03/12/1985 | Dotter | | | |
| | AC | 4,512,338 | 04/23/1985 | Balko et al. | | | |
| | AD | 4,553,545 | 11/19/1985 | Maass et al. | | | |
| | AE | 4,560,374 | 12/24/1985 | Hammerslag | | | |
| | AF | 4,647,416 | 03/03/1987 | Seiler, Jr. et al. | | | |
| | AG | 4,649,922 | 03/17/1987 | Wiktor | | | |
| | AH | 4,655,771 | 04/07/1987 | Wallsten | | | |
| | AI | 4,665,913 | 05/19/1987 | Garza et al. | | | |
| | AJ | 4,795,458 | 01/03/1989 | Regan | | | |
| | AK | 4,800,882 | 01/31/1989 | Gianturco | | | |
| | AL | 4,848,343 | 07/18/1989 | Wallsten et al. | | | |
| | AM | 4,872,874 | 10/10/1989 | Taheri | | | |
| | AN | 4,878,906 | 11/07/1989 | Lindemann et al. | | | |
| | AO | 3,105,492 | 10/01/1963 | Jeckel | | | |
| | AP | 3,272,204 | 09/13/1966 | Artandi et al. | | | |
| | AQ | 3,490,975 | 01/20/1970 | Lightwood et al. | | | |
| | AR | 3,562,820 | 02/16/1971 | Braun | | | |
| | AS | 3,635,215 | 01/18/1972 | Shea et al. | | | |
| | AT | 3,771,526 | 11/13/1973 | Rudle | | | |
| | AU | 3,993,078 | 11/23/1976 | Bergentz et al. | | | |
| | AV | 4,078,167 | 03/07/1978 | Banas et al. | | | |
| | AW | 4,127,761 | 11/28/1978 | Pauley et al. | | | |
| | AX | 4,130,904 | 12/26/1978 | Whalen | | | |
| | AY | 4,164,045 | 09/14/1979 | Bokros et al. | | | |

EXAMINER

DATE CONSIDERED

EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



FORM 170-149 (Modified)

ATTY DOCKET NO: S63.2-5605

Serial No: 08/511,076

INFORMATION DISCLOSURE
STATEMENT

APPLICANT: Brian J. Brown, Michael L. Davis

(Use several sheets if necessary)

FILING DATE: August 3, 1995

Group: 3308

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

| EXAM'S INT. | | PATENT NUMBER | ISSUE DATE | PATENTEE | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------|----|---------------|------------|---------------------|-------|----------|-------------------------------|
| W | AA | 4,214,587 | 07/29/1980 | Sakura, Jr. | | | |
| | AB | 4,313,231 | 02/02/1982 | Koyamada | | | |
| | AC | 4,425,908 | 01/17/1984 | Simon | | | |
| | AD | 4,501,264 | 02/26/1985 | Rockey | | | |
| | AE | 4,535,770 | 08/20/1985 | Lemole | | | |
| | AF | 4,580,568 | 04/08/1986 | Giannurco | | | |
| | AG | 4,597,389 | 07/01/1986 | Ibrahim et al. | | | |
| | AH | 4,655,776 | 04/07/1987 | Lesinski | | | |
| | AI | 4,795,458 | 01/03/1989 | Regan | | | |
| | AJ | 4,795,465 | 01/03/1989 | Marten | | | |
| | AK | 4,842,575 | 06/27/1989 | Hoffman, Jr. et al. | | | |
| W | AL | 4,851,009 | 07/25/1989 | Pinchuk | | | |
| | AM | | | | | | |
| | AN | | | | | | |
| | AO | | | | | | |
| | AP | | | | | | |
| | AQ | | | | | | |
| | AR | | | | | | |
| | AS | | | | | | |
| | AT | | | | | | |
| | AU | | | | | | |
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| | AW | | | | | | |
| | AX | | | | | | |
| | AY | | | | | | |

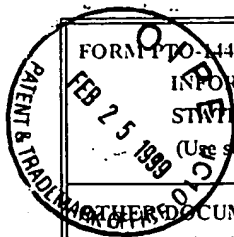
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EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

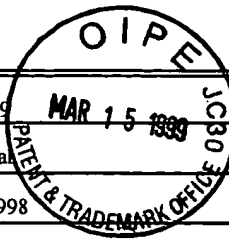


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| FORM PTO-1449 (Modified) | | ATTY DOCKET NO: S63.2-5605 | Serial No: 08/511,076 |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) | | APPLICANT: Brian J. Brown, Michael L. Davis | |
| | | FILING DATE: August 3, 1995 | Group: 3308 |
| OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication) | | | |
| W | CA | Improved Dilation Catheter Balloons, by Stanley B. Levy, Ph.D., <u>JOURNAL OF CLINICAL ENGINEERING</u> , Vol. 11, No. 4, July-August 1986, pp. 291-296. | |
| W | CB | Self-expanding Stainless Steel Biliary Stents ¹ , By Harold G. Coons, MD, <u>RADIOLOGY</u> 1989, Vol. 170, No. 3, Part 2, pp. 979-983. | |
| W | CC | Technical Note Entitled <i>Modifications of Gianturco Expandable Wire Stents</i> , By Barry T. Uchida et al., <u>AJR</u> , Vol. 150, May 1988, pp. 1185-1187. | |
| W | CD | Brochure from Cook Incorporated regarding Gianturco-Rosch Biliary Z-Stents™. | |
| W | CE | Expandable Biliary Endoprosthesis: An Experimental Study, By Carrasco et al., <u>AJR</u> , Vol. 145, December 1985, pp. 1279-1282. | |
| W | CF | Gianturco Expandable Metallic Biliary Stents: Results of a European Clinical Trial ¹ , By Irving, et al., <u>Interventional Radiology</u> , Vol. 172, No. 2, August 1989, pp. 321-326. | |
| W | CG | Tracheobronchial Tree: Expandable Metallic Stents Used in Experimental and Clinical Applications ¹ , Work In Progress, By Wallace et al., <u>RADIOLOGY</u> , February 1986, pp. 309-312. | |
| W | CH | Brochure Entitled AVE MICRO STENT™, Instructions for Use, By Applied Vascular Engineering, Inc., pp. 1-15. | |
| W | CI | Brochure Entitled MICRO STENT™, By Applied Vascular Engineering, Inc. | |
| | CJ | | |
| | CK | | |
| | CL | | |
| | CM | | |
| | CN | | |
| | CO | | |
| | CP | | |
| | CQ | | |
| EXAMINER | | DATE CONSIDERED | |
| LION NAD | | H/Loo | |
| EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | |

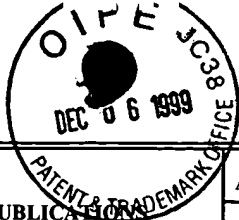
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|--|----|-----------------|----------|---------------------------------|-------|------------------------|-------------------------------|
| FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | | | | ATTY DOCKET NO.:S63.2-6769 | | SERIAL NO.: 09/197,278 | |
| | | | | APPLICANT: Brian J. Brown et al | | | |
| | | | | FILING DATE: November 20, 1998 | | GROUP: 3738 3791 | |
| REFERENCE DESIGNATION | | | | U.S. PATENT DOCUMENTS | | | |
| EXAM'S INIT. | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
| | AA | | | | | | |
| | AB | | | | | | |
| | AC | | | | | | |
| | AD | | | | | | |
| | AE | | | | | | |
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| | AJ | | | | | | |
| | AK | | | | | | |
| | AL | | | | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |
| | | | | | | | YES NO |
| W | BA | 298 16 878 U1 | 12/24/98 | DE | | | (Abstract) |
| | BB | | | | | | |
| | BC | | | | | | |
| | BD | | | | | | |
| | BE | | | | | | |
| OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | |
| | CA | | | | | | |
| | CB | | | | | | |
| | CC | | | | | | |
| EXAMINER <i>WEN NGU</i> | | | | DATE CONSIDERED <i>7/6/00</i> | | | |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | |



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|--|----|------------------------|-------------|---|--------------|-------------------------------|-----------------------------------|
| FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | | | | ATTY DOCKET NO.: S63.2-6769 | | SERIAL NO.: 09/197,278 | |
| | | | | APPLICANT: Brian J. Brown et al. | | | |
| | | | | FILING DATE: November 20, 1998 | | GROUP: 3738 3731 | |
| REFERENCE DESIGNATION | | | | U.S. PATENT DOCUMENTS | | | |
| EXAM'S INIT. | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
| W | AA | 5,911,754 | 06/15/99 | Kanesaka et al. | 623 | 1 | |
| W | AB | 5,922,021 | 07/13/99 | Jang | 623 | 1 | |
| W | AC | 5,948,016 | 09/07/99 | Jang | 623 | 1 | |
| W | AD | 5,954,743 | 09/21/99 | Jang | 606 | 198 | |
| | AE | | | | | | |
| | AF | | | | | | |
| | AG | | | | | | |
| | AH | | | | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |
| | | | | | | | YES NO |
| | BA | | | | | | |
| | BB | | | | | | |
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| OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | |
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| EXAMINER WBNCO | | | | DATE CONSIDERED 7/5/00 | | | |
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